



Activity Sheet 3: How Diverse is Your SMG Portfolio?

On table below list your SMG team’s portfolio or planned portfolio. Use market cap to determine risk tolerance – A **Small Cap** company: Less than \$1 billion; a **Mid Cap** company: \$1 billion to \$5 billion; a **Large Cap** company: Over \$5 billion.

SMG ACCOUNT HOLDINGS			
Ticker Symbol	Shares Held	Company	Risk Tolerance
ESLR	300	Evergreen Solar	High, small market cap (659.85M)

On a scale from 5 to 1 where 5 is the most diverse, rate your SMG portfolio:

5 4 3 2 1



ACTION PLAN:

List the steps your team will take to diversify your SMG Portfolio. Review the SMG Account Holdings table you just completed and discuss your findings with your team and decide on a team investment strategy. List your account holdings below; indicate any changes you are making in your account to diversify its contents and minimize your risk.

Investment Type <ul style="list-style-type: none"> • Stocks • Mutual Fund 	We selected this investment based on: <ul style="list-style-type: none"> • It's performance compared with other companies • It's market capitalization • Potential for moderate and sustained growth • Other: specify 	Risk Level <ul style="list-style-type: none"> • High • Medium • Low 	Ticker Symbol/ # Shares Owned

Activity Sheet 3: How Diverse in your SMG Portfolio?

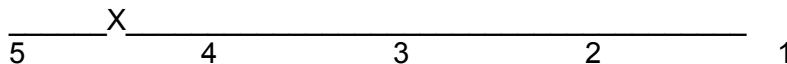
Answers may vary

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It needs to be explained here in the worksheet that the smaller the company, the greater the risk, because smaller companies tend to be newer and more volatile in price.

SMG ACCOUNT HOLDINGS			
Ticker Symbol	Shares Held	Company	Risk Tolerance
ESLR	300	Evergreen Solar	High, small market cap (659.85M)
<i>TM</i>	<i>100</i>	<i>Toyota</i>	<i>Low, large market cap (183.40B)</i>
<i>VLTS</i>	<i>250</i>	<i>VALENTIS INC</i>	<i>High, small market cap (7.7M)</i>
<i>SDA</i>	<i>400</i>	<i>SADIA S.A.</i>	<i>Medium, Mid Cap (2B)</i>

On a scale from 5 to 1 where 5 is the most diverse, rate your SMG portfolio: We have a variety of stocks in different industries and of different sizes



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<i>Answers here will vary depending on which stocks are in each team's portfolio.</i>			